

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No.: 109779.134)

Applicant(s):

Newnam, et al.

Serial No.:

09/931,590

RECEIVED

APR 1 7 2002

Technology Center 2100 Examiner: Not Yet Assigned

PATEN1

Filed:

August 16, 2001

Group Art Unit: 2155

For:

SYSTEM AND METHOD FOR RECORDING AND PLAYING

BACK INTERACTIVE CONTENT DURING A BROADCAST EVENT

**Assistant Commissioner for Patents** Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being as first class mail in an envelope addressed to the Assistant

Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

4/10/02 Date

Anthony W. Sutton

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner:

Applicant and their attorney are aware of the following publications and information listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration.

Pursuant to 37 C.F.R. §1.97, Applicant hereby makes of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are enclosed.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior art. If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper; therefore, no fees are believed to be due.

The Commissioner, however, is hereby authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. <u>08-0219</u>.

Respectfully submitted,

Date: \_4-10-02

Michael A. Diener Registration No. 37,122 Attorney for Applicant

Hale and Dorr LLP 60 State Street Boston, Massachusetts 02109

Tel: (617) 526-6454 Fax: (617) 526-5000

sutton\_anthony C:\NrPortbl\Boston\ANTHOS\1398150\_1.DOC

**PATENTS** IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Examiner: Not Yet Assigned

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Anthony W. Sutton

## TRANSMITTAL LETTER

## Commissioner:

Enclosed for filing are the following documents:

- 1.) Information Disclosure Statement;
- 2.) Form PTO-1449, including (6) documents; and
- Return Postcard. 3.)

Please charge any deficiency or credit any overpayment in connection with this matter to Deposit account No. 08-0219.

Respectfully submitted,

Date: 4-10-02

Michael A. Diener

Reg. No. 37,122

Attorney for Applicants

Hale and Dorr LLP 60 State Street Boston, Massachusetts 02109

Tel: (617) 526-6454 Fax: (617) 526-5000.

bt. Form PTO-1449 INFORMATION DISCLOSURE
IN AN APPLICATION (Use several sheets if necessary)

IN AN APPLICATION  (Use several sheets if necessary)					Docket Number 109779.134		09	Application Number 09/931,590	
					Newnam, et al.				
Sheet	Sheet 1 OF 1				ng Date t 16, 2001	G	Group Art Valon App. 2155  CLASS FILING DATE IF APPROPRIATE		
			U.	S. Pate	nt Docume	nts			enter 2
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME Knee, et al.		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	100
	5,589,892	Dec. 31, 1996				348	731		
	5,774,664	Jun. 30	), 1998	Hid	lary, et al.	395	200.48		
	5,778,181	Jul. 7,	1998	Hid	lary, et al.	395	200.48		
	6,018,768	Jan. 25	, 2000	Ulir	nan, et al.	709	218		
	6,215,526	Apr. 10	, 2001	Bar	rton, et al.	348	473		
	6,233,389	May 15	5, 2001	Bar	rton, et al.	386	46		

Foreign Patent Documents													
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO							

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.